



Kentucky Rural Water Association

Helping water and wastewater utilities help themselves

January 5, 2004

JAN 07 2004

Mr. Thomas M. Dorman
Public Service Commission
P. O. Box 615
Frankfort, KY 40602-0615

Dear Mr. Dorman:

2004-00007

Kentucky Rural Water Association will host its 2004 Management Conference at the Sloan Convention Center in Bowling Green, Kentucky on February 10-11, 2004. We request that this training be approved for commissioners as referenced in HB-75, KRS 74.020.

Please find enclosed a training summary, which includes a timed agenda, a description of each session, and speaker biographies. The Kentucky Boards of Certification of Wastewater, Water Treatment and Distribution System Operators have approved this course for ten (10) hours of continuing education training. A copy of their approval is also enclosed.

With this letter and enclosures, Kentucky Rural Water Association is requesting the approval of ten (10) hours for commissioner and board member training. If additional information is requested, please do not hesitate to call.

Sincerely,

Phillip East
Phillip East
Education Services Director

jc

Enclosures

TRAINING SUMMARY

2004 Management Conference

Securing Our Future

February 10 -11, 2004

Sloan Convention Center/University Plaza Holiday Inn Hotel
Bowling Green, Kentucky

Presented By: Kentucky Rural Water Association

This two-day management conference will be directed toward the operators, managers, office personnel, and decision-makers of water and wastewater utilities throughout Kentucky. The theme of our 2004 Management Conference is "Securing Our Future." Responding to terrorist threats will be addressed, but the positive aspects of securing the future of safe drinking water and adequately treated wastewater through technical, managerial, and financial capacity will be emphasized.

A timed agenda, speaker bios, and a brief description of each session follow:

DAY ONE – Tuesday, February 10, 2004

TIME:	8:00 a.m. – 9:00 a.m.	Registration
	9:00 a.m. – 9:15 a.m.	Welcome and Introductions Phillip East, Education Services Director Kentucky Rural Water Association

OPENING SESSION – KEYNOTE ADDRESS All Participants

TIME:	9:15 a.m. – 10:15 a.m.	1 hr.
TOPIC:	"Securing Your Utility's Public Image"	
PRESENTER:	Mimi Bliss, Bliss Communications	

This session will provide one (1) hour of classroom training and will focus on the following:

- The Post 9/11 World of Water and Wastewater Utilities
- Public Utilities and Public Perception
- The Image of Security – Perception vs. Reality
- Poor Perceptions of Real Examples
- Know Your Business – There Is No Substitute for Competency
- Accentuating the Positives –
 - Public Protection Safeguards for Water & Wastewater Functions
 - Federal Laws, State Regulations, Local Ordinances
 - Networks of Support
 - Valuing Education and Training
 - Valuing Water and Wastewater Availability and Reliability
- Your Utility Security - Communicating a Clear, Consistent, Concise Message Through Planned Interaction of Utility Staff, Management, Board, Regulatory Agencies, Community, and Media.

TIME: 10:15 a.m. – 10:30 a.m. - **BREAK**

SESSION TWO

All Participants

TIME: 10:30 a.m. – 11:30 a.m. 1 hr.

TOPIC: "Securing Our Future By Honoring Our Past"
Founders of KRWA – The Making of Kentucky
Rural Water Association

PRESENTER: Elroy Larimore, Retired, Green River Valley Water District
Joe Liles, Warren, Simpson, and Butler Co. Water Districts
Damon Talley, Attorney
Gary Larimore, Executive Director, KRWA

TIME: 11:30 a.m. – 1:00 p.m. - **LUNCH**

SESSION THREE – (Two Tracks – Management/Operations and Office/Administration)

TIME: 1:00 p.m. – 4:15 p.m.
(Includes 15-minute break)

TRACK 1 MANAGEMENT/OPERATIONS – Three Topics

TOPIC 1 Utility Security In Planning 1 hr.

PRESENTER: Roger Recktenwald, Executive Director, Kentucky
Infrastructure Authority

The first topic for this session will provide one (1) hour of training and will focus on the Kentucky Infrastructure Authority and its role in helping utilities secure, or at least insure, their place in the state funding picture. Mr. Recktenwald will emphasize the importance of utility participation in the early stages of management planning within the geographic boundaries that coincide with Area Development Districts. The goal of this session is to encourage utility personnel to take personal, collective, and community responsibility for the security of our water and wastewater systems, and to include issues of security in the utility planning process.

TOPIC 2 The Legislative Impact on Utility Security 1 hr

Gary Larimore, Executive Director
Kentucky Rural Water Association

The second topic for this session will provide one (1) hour of training covering the latest information on our State's 2004 Legislative Session. This will be the first session of the General Assembly since the inauguration of our new Governor. This presentation will identify key legislative issues coming out of the session and report on some of the water and

DAY TWO – Wednesday, February 11, 2004

TIME: 8:00 a.m. – 9:00 a.m. Registration
9:00 a.m. – 9:15 a.m. Welcome, Announcements
Phillip East, Education Services Director
Kentucky Rural Water Association

OPENING SESSION

All Participants

TIME: 9:15 a.m. – 9:45 a.m. ½ hr
TOPIC: "Securing Our Future Through Sustained Utility Service"
PRESENTER: Kenneth Sloan, Director, USDA Rural Development

This session will provide one-half (1/2) hour of training and will feature some of the aspects of utility growth that are sustained and secured by local and federal construction and funding arrangements. The role of the USDA Rural Utility Service is, in part, to promote sustainable rural communities by helping to fund water and sewer service through federal USDA programs. In this presentation examples of such funding in our state will be used to demonstrate how the future of water and wastewater utilities are made more secure by loan programs administered by Kentucky Rural Development.

TIME: 9:45 A.M. – 10:15 a.m. ½ hr
TOPIC: "Securing Our Future Through Education and Training"
PRESENTER: Dr. Andy Ernest, Director, Center For Water Resources Studies,
Western Kentucky University

This session will provide one-half (1/2) hour of training and will focus on education and training as means of preparing for and assuring the future of professionals at all levels of service in the water and wastewater industry. From an academic setting, Dr. Ernest will address the needs for utility managers and decision makers to avail themselves and their utility staff of available training and educational opportunities. He will encourage participation in training functions offered by the Kentucky Division of Water, the Kentucky Public Service Commission, and training and technical assistance providers such as Kentucky Rural Water Association. He will emphasize the need for and availability of college-level utility training such as that offered by the Utility Management Institute of Western Kentucky University. The objective of this session is to reaffirm the value of continued education and training to individuals and utilities in securing our future.

TIME: 10:15 a.m. – 10:30 a.m. **BREAK**

SESSION TWO

All Participants

TIME: 10:30 a.m. – 11:30 a.m. 1 hr
TOPIC: "Securing Utility Information – Vulnerability Assessments,
Compliance, and Technology"
PRESENTER: Julie Roney, Kentucky Division of Water

This session will offer one (1) hour of training and will address the role of our state primacy agency in helping to secure safe drinking water and adequately treated wastewater. The status of Vulnerability Assessment requirements, Emergency Response Plans updating, and our state agency's response to EPA guidelines will be reviewed. Pressing compliance issues and ticklish operating requirements, such as public notifications, will also be addressed. Cyber security, especially the status of our state's monitoring and reporting electronic processes will be the focus of securing utility information.

TIME: 11:30 a.m. – 1:00 p.m. **LUNCH – BEST TASTING WATER CONTEST**

SESSION THREE – (Two Tracks – Management/Operations and Office/Administration)

TIME: 1:00 p.m. – 4:15 p.m.
(Includes 15 minute break)

TRACK 1 MANAGEMENT/OPERATIONS – Three Topics

TOPIC 1: "Securing the Future of Kentucky's Water and Wastewater Utilities – The PSC Perspective" 1 hr
Commissioner Gary Gilles, Kentucky Public Service Commission

This session will offer one (1) hour of training. Commissioner Gillis will address issues of utility security and his role in staying abreast of these issues. Having dedicated some of his time to this vital topic, his involvement has taken him to other states and availed him of the perspectives of other professionals, such as Dr. Jan Beecher, of the Beecher Research Institute. Security concerns will be integrated with other current issues, such as commissioner training, restructuring, and the need to participate in inter-agency programs aimed at securing the future of Kentucky's water and wastewater utilities.

TOPIC 2 "Securing Our Future by Protecting Our Sources – A Case History Success Story" 1 hr
David Foster, American Cave Museum

This session will provide one (1) hour of training and will emphasize the importance of protecting our sources. The history illustrated and preserved at the American Cave Museum in Horse Cave, Kentucky will be presented. With a background in Geology and Karst studies, David will bring an impressive visual story of how one local area was overcome with pollution, but was restored through community concern, commitment, and regional cooperation. This home-front success story is intended to strengthen our individual, utility, agency, and industry belief in the prospects of a secure future inherent in source protection programs and local efforts that work.

TOPIC 3 "Utility Security – What We've Learned" 1 hr
Don McKay, Louisville Water Co.

This session will provide one (1) hour of training. As risk manager for Louisville Water Company, and with twenty years experience with General Electric, Don McKay has been

involved with risk and litigation management at the industrial level. His understanding of the complexity of security issues makes him the ideal speaker to bring our conference to a close. He will select and address those items of priority, impact, and interest most applicable to Kentucky's water and wastewater utilities. From an early framework of layered security (perimeter, access control, and operational), Mr. McKay will analyze current conditions most recent events, and will summarize what we have learned regarding utility security.

(SESSION THREE, 1:00 p.m. – 4:15, cont.)

TRACK 2 OFFICE/ADMINISTRATION – Three Topics

This session will be based upon the "Cracker Barrel" concept, with three speakers representing three different topics in the same room. The speakers will rotate among three different settings of attendees, bringing their message to each group, thus allowing for discussion and interaction within the groups. The topics and speakers are as follows:

TOPIC 1: "Workplace Security"
PRESENTER: Vicki Pettus, Principal Assistant, Kentucky Infrastructure Authority

The objective of this session is to identify and discuss those areas of concern within the workplace that are sometimes overlooked or not emphasized. Helping to secure a safe working environment through atmosphere, tone, and environmentally managed settings has an impact on the ways individuals feel about their safety and security, which, in turn, has a bearing on loyalty, productivity, and inter office relations. From employee evaluations and dealing with troubled employees to counseling, termination and dismissal procedures, Vicki's experience will enable an in-depth discovery of workplace security issues.

TOPIC 2: "Financial Accounting Security"
Carryn Lee, Kentucky Rural Water Association

There is no over-stressing the importance of good financial practices. From cost of service studies to uniform system of accounts, Carryn is uniquely qualified in our state to relate these issues to the overall security of our utilities. Those who keep the books and those who keep the "purse strings" must work with the same available dollars. The importance of knowing what it cost to be in business will be stressed during this presentation and participation session.

TOPIC 3: "Managing Office Security"
Sue Carter, Allen Co. Water District

This session will enable participants to consider all that goes into planning the construction of a new office building – the design and layout – with security measures built in. When the decision makers who plan the construction of a new utility office building listens to the individuals who work in that office, security has a prominent place in the picture. Innovative ideas include chimes that tell which outside door is open, double locks on inside doors, silent hold-up alarms, and bullet proof glass on the drive up window. This presentation will highlight some of the security considerations for a modern water or wastewater office.

CLOSING SESSION

TIME: 4:15 p.m. – 4:30 p.m.
TOPIC: Announcements, Awards, Conclusion
PRESENTER: Phillip East, KRWA

TOTAL HOURS FOR DAY 2 5

TOTAL HOURS FOR BOTH DAYS 10

Kentucky Rural Water Association requests the approval of **ten (10)** training hours for this conference, which can be applied toward commissioner training.

Mimi Bliss Bliss Communications

As owner of Bliss Communications, Mimi works with high-profile organizations for which an outstanding public image is essential for success. An experienced TV reporter and corporate spokesperson, she helps clients maximize positive exposure in the news media and community, enhance their presentation skills, and manage their reputations in a crisis. Since she founded Bliss Communications in 1997, Business Nashville magazine has twice named Mimi to "40 Under 40"—Nashville's forty most influential professionals under age forty—and the Tennessean newspaper recently named her to its "40 Under 40" list for community service.

Clients of Bliss Communications include the Tennessee Association of Utility Districts, Metro Nashville Water Services, Kentucky AgriBusiness Association, Tennessee Valley Public Power Association, Rogers Group, Inc., and State of Tennessee.

Mimi had more than ten years experience as an on-camera reporter for ABC-TV and NBC-TV affiliates in Tennessee and North Carolina. Mimi works as a freelance field producer for the Today Show and NBC Nightly News.

While director of corporate communications for Shoney's, Inc., Mimi served as company spokesperson in crisis situations for the \$1 billion publicly-owned restaurant company. During her tenure with Saint Thomas Hospital in Nashville, Mimi quadrupled positive media coverage of the hospital's nationally-recognized heart institute and other services.

Mimi earned a degree in public policy from Duke University. She is certified by the Institute for Crisis Management to provide crisis prevention and management services.

###

Elroy Larimore

Elroy Larimore has been a Board Member of the Kentucky Rural Water Association since 1979. He serves as a contract employee at the Green River Valley Water District in Cave City where he served as General Manager from 1962 until his retirement (35+ years). During his time as Manager, Elroy guided the water district toward becoming one of the largest and most efficiently operated rural systems in the Commonwealth of Kentucky.

Mr. Larimore has spent 95% of his adult life catering to the needs of the public. He has been and continues to be extremely active in numerous national, state, local and civic organizations. He remains deeply devoted to the common cause of providing and maintaining clean SAFE drinking water to all rural citizens.

As a result of his interest in the economic and rural development of our state and country, Elroy was not only one of the original incorporators of the Kentucky Rural Water Association, but he also guided the association during its infancy. He has also been instrumental in the great strides made through the national organization by serving on the National Rural Water Association Board of Directors.

Elroy Larimore was born February 26, 1931 and married Doris Richardson on July 7, 1951. He has two children, Debra and Gary, one grandson, and one great-grandson. Elroy is an active member of Pleasant Valley Baptist Church.

JOE LILES

PO BOX 1118
BOWLING GREEN, KY 42102-1118
502-842-0052

EXPERIENCE

1967 - MANAGER - Grayson, Simpson, and Warren County Water
PRESENT Districts, and Butler County Water System, Inc.

EDUCATION

1966 WESTERN KENTUCKY UNIVERSITY - Bachelor of Science with
area of concentration in Management

OTHER

Class III Water Distribution System Operator

Kentucky Rural Water Association Treasurer - Past President -
Past Vice-President

Kentucky Water and Wastewater Operator Association Member

American Water Works Association Member

Bowling Green - Warren County Chamber of Commerce Divisional
Vice-President

Kentucky Blaster License Holder

Class A CDL Operator

BIOGRAPHICAL INFORMATION

for

**Damon Talley
Attorney at Law**

P. O. Box 150
112 North Lincoln Blvd.
Hodgenville, KY 42748
(270) 358-3187

EDUCATION:

Law School: University of Kentucky College of Law
Degree: Juris Doctor – May 1975
Undergraduate: University of Kentucky College of Engineering
Degree: Bachelor of Science-Mechanical Engineering
(with highest distinction)

PROFESSIONAL QUALIFICATIONS:

Kentucky Bar Association: Member in good standing since 1975;
Charter Life Fellow, KY Bar Foundation;
Member, House of Delegates 1994-2000
U.S. Supreme Court: Admitted in 1979
U.S. District Court for the
Western District of KY: Admitted in 1977
Eastern District of KY: Admitted in 1997
Martindale-Hubbel b v Rating

LEGAL EXPERIENCE:

1983 – Present: Damon R. Talley, P.S.C., Hodgenville, KY
Engaged in the general practice of law with primary
emphasis on representing utilities.
1975 – 1983: Hensley, Talley & Dunn, Horse Cave, KY
Partner in law firm which primarily defended insurance
carriers and their insureds in an approximately 25 county
area in South Central, KY. Extensive practice in Workers'
Compensation, negligence, products liability, insurance,
construction, and commercial litigation.

BIOGRAPHY

Gary Larimore

Gary Larimore has been Executive Director of the Kentucky Rural Water Association (KRWA) since its formation in March 1979. He has received both Bachelor of Science and Master of Public Service Degrees from Western Kentucky University in Bowling Green, Kentucky.

Mr. Larimore is responsible for the administration and day-to-day operation of the Association's office and supervision of a twenty-member staff. These duties include budgeting and financial management, personnel management, and acting as the primary representative with the membership, the Board of Directors, National Rural Water Association, various funding agencies, and other outside organizations. Additionally, Mr. Larimore serves as Secretary/Treasurer of the Kentucky Rural Water Finance Corporation, which was incorporated in 1995 for the purpose of assisting communities in obtaining competitive financing. Other primary duties include representing the Association's legislative and regulatory interests as a full-time lobbyist and working with water-related groups and organizations.

Mr. Larimore is the co-author of the ***Kentucky Wastewater Assistance Manual for Policymakers***, June, 1993; ***Kentucky Rural Water Assistance Manual***, January, 1990; ***Water System Decision Makers – An Introduction to Water System Operation and Maintenance***, January 1988; and ***Conserving Water and Energy – A Manual for Managers of Rural Water Utilities***, September, 1982. He has served on a variety of National Rural Water Association (NRWA) committees and currently serves as a trustee of the Rural Municipal Retirement Trust, sponsored by NRWA.

Gary is a native of Horse Cave, Kentucky. He lives in Warren County, Kentucky with his wife Rita Tufts Larimore, who teaches third grade at St. Joseph School in Bowling Green, Kentucky.

Roger Recktenwald

Born in Louisville, Kentucky; acquired an MA in Theology, married, and quickly got an MSW to learn coping skills, and moved to Wheelwright and later to Dwale in Floyd County, Kentucky. Actively engaged in rural development, via community-based planning and organizing, infrastructure project development and administration, and local government advocacy. Worked for five eastern-most counties of Kentucky, while employed as the executive director of the Big Sandy Area Development District from 1985 through March 30, 2000. April 1, 2000 accepted, continue to be challenged by, and thoroughly enjoy the position of executive director of the Kentucky Infrastructure Authority.

If there's any mental energy left after actively promoting regionalism and other less noxious forms of communism, Roger enjoys tinkering and production of truly amazing inventions, dumb lawyer bashing, kite making...some have flown, thank you, Grisham, Gabaldon, and an occasional shot of Wild Turkey... to help save the fish.

Roger presently resides in north Anderson County, which when everyone's home...the place emerges above hoods and fenders not unlike an office of a used car lot, complete with three too many cats, each with an attitude, a Collie with saddle, and several relatively quiet gold fish in a garden pond...without the garden. Roger is Eileen's husband and father to three children...Adam, Rebekah, and Sarah.

Bob Pennington, First Vice President

Morgan Keegan & Company

489 East Main Street

Lexington, KY 40507

Phone: 859.232.8211

Mr. Pennington joined Morgan Keegan & Company as First Vice President in 2003. Prior investment associations have been with Kirkpatrick Pettis and Hilliard Lyons. Earlier experience includes employment with the Kentucky State Office of Financial Management and Economic Analysis, where he worked specifically with the Kentucky Infrastructure Authority as a financial analyst.

During his five years with the Kentucky Infrastructure Authority, Mr. Pennington was involved with the structuring and sale of several federal and state revolving loan program bonds, various interim financing note issues and bond program financings.

While Mr. Pennington's concentration has been with infrastructure finance, he has also worked on numerous other financing efforts, to include school district and healthcare finance.

Mr. Pennington has extensive experience in various computer software programs to include Micro-Muni Debt, Sizing and Refunding, plus experience in spreadsheet software, including Lotus and Excel.

Mr. Pennington is a Kentucky native and currently lives in Frankfort. He is a 1988 graduate of the University of Kentucky, with a Bachelor of Business Administration with a concentration in finance.



Kentucky USDA Rural Development State Director

Secretary of Agriculture Ann Veneman appointed Kenneth Slone, as State Director of the United States Department of Agriculture, Rural Development (formerly Farmers Home Administration). Slone was recommended for this appointment by Senators Mitch McConnell and Jim Bunning.



Slone's 25-year career with USDA began as a student trainee while attending Morehead State University. After graduating with a Bachelor of Science degree in Agriculture Education in 1976, he became an Assistant County Supervisor in Prestonsburg, Kentucky and was promoted to County Supervisor in 1980. In 1985, Slone was selected from over 450 applicants to participate in USDA's Mid-Management Program. The two-year Mid-Management Program provided participants with high-level management training while working at the USDA headquarters in Washington, D.C.

After completing the Mid-Management training Slone returned to Kentucky as a Rural Housing Specialist with the FmHA Housing Program where he assisted in establishing the Rural Housing Guaranteed Program. In 1989, Slone was awarded the Administrator's Distinguished Service Award for Outstanding Achievement as the group leader, reducing the number of residential properties held in inventory from in excess of 500 to less than 100.

Slone became a General Certified appraiser in 1993 and provided assistance in establishing the first FmHA Appraisal Section. In addition to his appraisal responsibilities, Slone became the State Environmental Specialist in 1996, providing guidance in all program areas on environmental issues, until his appointment as State Director.

Slone's efforts over the past 25 years have helped to bring funding assistance to countless rural residents throughout Kentucky.

Slone has been a resident of Anderson County for the past 15 years.

ANDREW N.S. ERNEST, PH.D., P.E., DEE

**ASSOCIATE DEAN, ODGEN COLLEGE OF SCIENCE AND
ENGINEERING
DIRECTOR, CENTER FOR WATER RESOURCES STUDIES
WESTERN KENTUCKY UNIVERSITY
BOWLING GREEN, KENTUCKY**

Andrew Ernest came to Western Kentucky University in July, 2003 from Texas A&M University. He is the Associate Dean of Ogden College of Science and Engineering and the Director of the Center for Water Resources Studies.

Dr. Ernest has a Ph.D. degree in Civil Engineering from Texas A & M University. He also holds B.Sc. and M.Sc. degrees in Civil Engineering from University of Southwestern Louisiana.

He has extensive knowledge in Hazardous Substance/Superfund, Water Resource Management, Regional Environmental Sustainability, and Professional Engineering, as well as 15+ years university teaching experience. He has developed internet courses and has taught distance-learning classes through an educational network station.

Dr. Ernest has authored numerous journal articles and technical reports on water research and environmental studies.

RESUME

Julie W. Roney
720 Wellington Way
Lexington, KY 40503
859/224-4098

Education: Bachelors of General Studies (developed my own curriculum with emphasis in Environmental Sciences)
University of Kentucky, Lexington, KY
December 1979 (with High Distinction)

Bachelors of Science in Biology
University of Kentucky, Lexington, KY
December 1990 (with High Distinction and Departmental Honors)

Eastern Kentucky University, Richmond, KY
Masters in Biology
January 1992 – December 1993 (degree not completed—had to decide between work and college, work won)

Experience: 21 years in the environmental field

Drinking Water Branch/Division of Water, Commonwealth of Kentucky
Environmental Technologist III February 1, 1999 - Present

- Involved with the Comprehensive Technical Assistance Program (CTAP) regarding plant operations, design, water quality and troubleshooting by working directly with treatment facilities;
- Participates in the EPA-sponsored Area-wide Optimization Program for water treatment plants;
- Oversees implementation of the Interim Enhanced Surface Water Treatment Rule, the Disinfectant/Disinfection By-Products Rule and related future regulations;
- Provides input on other regulatory and operational issues affecting the Branch and water plants;
- Certified by EPA Region 4 to conduct Comprehensive Performance Evaluations (CPEs) for water plants

Kentucky-American Water Company, Lexington, KY
Director of Water Quality September 24, 1987 - January 31, 1999

- Progressed from Chemist through plant supervisor and superintendent positions to that of Director of Water Quality during tenure at Kentucky-American;
- Responsible for all compliance issues related to the production and distribution of potable water;
- Oversight of 2 water treatment plants and related distribution system as well as a package wastewater treatment plant;
- Managed a departmental staff of 4 directly with indirect supervisory input in 3 additional departments;
- Responsible for budgets in excess of \$1M annually

Commonwealth Technology, Inc, Lexington, KY
Water/Biology Lab Supervisor March 10, 1980 - September 24, 1987

- Responsible for all monitoring, reporting and compliance activities of the water (waste, industrial, potable, surface), microbiology and biology laboratories

Certificates: Commonwealth of Kentucky Water Treatment Plant Operator, Class IVA
Commonwealth of Kentucky Water Distribution System Operator, Class IVA

Associations: American Water Works Association

- Best Paper and Technical Committees
- Numerous presentations, moderating sessions and chairing panel discussions at KY-TN AWWA Annual Conference

Water Environment Federation

Kentucky Water and Wastewater Operators Association

- Secretary-Treasurer of the North Central chapter 1995-2000 (main focus was on developing and conducting operator training)
- State Board Representative from the North Central chapter 1997-2000

Awards/Presentations: Best Presentation, 1992
Bluegrass Cross-Connection Prevention Association

“UK Stands Out” series on University of Kentucky graduates
University of Kentucky and Lexington Herald-Leader, 1993

Co-author with Kevin Dixon (Director-Water Quality, New Jersey-American) and Dr. Philip Singer (University of North Carolina)
“An Evaluation of the Impact of Current and Future Disinfection/Disinfection By-product Regulations of the Kentucky-American Water Company”
AWWA Annual Conference, 1993

Coordinated the successful completion of KAWC’s Phase III Self-Assessments under the Partnership for Safe Water resulting in KAWC receiving the Directors Certificate in June 1998

Gary W. Gillis, CPA
Commissioner, Kentucky Public Service Commission

July, 2001

Gary is a businessperson who has nearly thirty years of professional experience in both business and government. He was appointed Commissioner of the Kentucky Public Service Commission in 1997. Previously, he served as the Kentucky Revenue Cabinet Secretary and as Executive Director of the Kentucky Workers' Compensation Funding Commission.

A Certified Public Accountant, he has been president of his accounting firm, and a Vice president and Treasurer for a major construction company. His accounting firm clients have included a municipal water company and a water district.

He presently is a member of the National Association of Regulatory Utility Commissioners' electricity committee, NARUC observer to the NERC operating committee, and member of the Midwest Independent System Operators Advisory committee. Since 1997, he also has been the commissions lead person for the partnership with the Republic of Armenia exchange program and has made presentations in Armenia in 1998 and 2001. He has served on many boards and commissions and was Vice-Chair of the Eastern Kentucky University Board of Directors (1987-1990).

He attended Transylvania University and graduated from Eastern Kentucky University.

DAVID FOSTER

David Foster is the Executive Director of the American Cave Conservation Association. He grew up in Southwest Virginia and holds bachelor degrees in Music from Emory and Henry College and Geology from Mary Washington College. Over the past 15 years, David orchestrated the design and development of the American Cave and Karst Center, a national educational center in Horse Cave, Kentucky focused on conservation of caves, groundwater and karst. One of the focal points of this work was the restoration of Hidden River Cave, considered one of the nation's best groundwater restoration success stories.

*BIOGRAPHY
of
Don McKay
Louisville Water Company*

Don McKay is a graduate of Hanover College in Indiana with a degree in education. He has 20 years experience in risk and litigation management with General Electric Company and 9 years as risk manager for Louisville Water Company.

As an avocation, Mr. McKay has 30 years experience in the fire service. He has served as captain of a truck company, fire service instructor and fire investigator.

Don McKay
Louisville Water Company
550 S. Third St.
Louisville, KY 40202
502.569.0859

11/01

Vicki M. Pettus
Kentucky Infrastructure Authority

Ms. Pettus has over 30 years of service with federal and state government. She has worked for the State of Kentucky for 20 years and has held various positions with Natural Resources & Environmental Protection Cabinet, including Branch Manager for Solid Waste Planning, and Director for Surface Mining's Division of Permits. She is currently Staff Assistant to the Executive Director, Kentucky Infrastructure Authority, and is coordinating work on water and sewer planning as well as the electronic application for funding.

Ms. Pettus holds a Bachelor's degree in public relations from Virginia Commonwealth University, and a Master's degree in education from the University of Kentucky. She is a resident of Frankfort and the proud mother of a daughter currently serving in the U.S. Army.

BIOGRAPHY

CARRYN LEE

Prior to coming to work for the Kentucky Rural Water Association, Carryn Lee was employed for 24 years with the Kentucky Public Service Commission. Most recently she served as Assistant Director of the Financial Analysis Division of the Kentucky Public Service Commission. The Division is responsible for determining the revenue requirement and rate design for all jurisdictional utilities. Carryn also served as Branch Manager of the Water and Sewer Rate Design Branch. This branch is responsible for preparing cost of service studies and designing water and sewer rates. The branch additionally reviews water and sewer tariff filings, purchased water adjustments and non-recurring charge cases filed with the Commission.

Carryn has been involved in various research and studies conducted at the Commission, including The Design and Use of System Development Charges, Rate Indexing, Phased Rates, Fire Protection Rates and Extension of Service issues.

Carryn began employment as a Rate Analyst with the Kentucky Rural Water Association in October 2002. In this position, she will prepare cost of service studies for water and sewer utilities enabling them to meet state and federal requirements of charging cost based rates.

Carryn has a B.A from Western Kentucky University and an M.P.A. from Kentucky State University. She has attended various training seminars including the Annual Regulatory Studies Program, NARUC Utility Seminars, Eastern Utility Rate Seminar and a Cost of Service Rate Making Seminar. Carryn has served on the NARUC Staff Subcommittee on Water and is an active member of the American Water Works Association.

A native of Pulaski County, Carryn currently resides in Harrodsburg. Her interest include traveling, water skiing and riding horses.

SUE CARTER



Sue Carter is the Office Manager for Allen County Water District. Sue started as a cashier for the District in 1987. The following year she was promoted to Office Manager and has remained in that capacity. In 2000 Allen Co. Water District built a new office building and Sue was involved in various planning phases of this project – design and layout – with emphasis on security measures.

Ms. Carter has earned the designation of Utility Management Professional as a participant in the Utility Management Institute sanctioned by Western Kentucky University and Kentucky Rural Water Association.

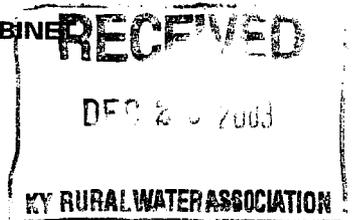
Outside of work Sue enjoys spending time with her two sons and two grandchildren. Her hobbies include Martial Arts, in which she holds two black belts and serves as an instructor at the Scottsville School of Martial Arts, and traveling. She is also a member of the National Rifle Association and the local chapter of the Fraternal Order of Police.

HENRY C. LIST
SECRETARY



ERNIE FLETCHER
GOVERNOR

COMMONWEALTH OF KENTUCKY
NATURAL RESOURCES AND ENVIRONMENTAL PROTECTION CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
FRANKFORT OFFICE PARK
14 REILLY RD
FRANKFORT KY 40601



December 22, 2003



Phillip East
Kentucky Rural Water Association
P. O. Box 1424
Bowling Green, Kentucky 42102

Dear Mr. East:

During the December 16 and 19, 2003 meetings of the Kentucky Boards of Certification of Wastewater, Water Treatment and Distribution System Operators, your request for approval of a course entitled "2004 Management Conference - Securing Our Future" (DOW Event Id Number 1946) was evaluated. Both Boards approved this course for ten continuing education hours. Please note that the Wastewater Board approved the training for non-process control hours.

Within thirty days of completion, please forward to this office a list of attendees including the appropriate wastewater, water treatment and/or water distribution certification number and hours earned by each. When forwarding this information, please reference the DOW event id number as provided above. If you have any questions or need additional information, please contact me at (502) 564-3410, ext. 317.

Sincerely,

Melissa L. Brothers

Melissa L. Brothers
Operator Certification Section
Enforcement Branch
Division of Water



Printed on Recycled Paper
An Equal Opportunity Employer M/F/D